



CP2879  
#3  
PATENT 7.25.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Thomas F. Soules, et al.  
FOR : **FLUORESCENT LAMP WITH SINGLE  
PHOSPHOR LAYER**  
SERIAL NO. : 10/080,226  
FILED : February 21, 2002  
EXAMINER : n/a  
ART UNIT : 2879  
LAST OFFICE ACTION : n/a  
ATTORNEY DOCKET NO. : GECZ 2 00609  
(11595)  
Cleveland, Ohio 44114-2518  
July 11, 2002

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JUL 18 2002  
TECHNOLOGY CENTER 2800

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

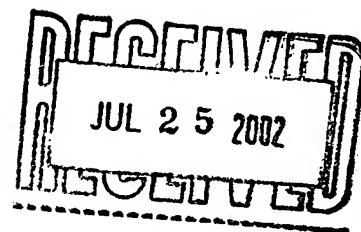
Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, applicant(s) submit(s) the following Disclosure Statement concerning art of which the applicant(s) is (are) aware. A copy of PTO-1449 is enclosed herewith.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein or submitted herewith is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. §1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Under § 1.98(a)(3), no concise explanation of relevance is required for information that is in the English language. Accordingly, the enclosed references require no further explanation (or no translation is available).



Consideration of the appropriate paragraph(s) indicated below is respectfully requested:

**[ ]        WITHIN THREE MONTHS OF FILING**

Under § 1.97(b)(1), this information disclosure statement is being filed within three months of the filing date of the application. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

**[ X ]        BEFORE FIRST OFFICE ACTION**

Under § 1.97(b)(3), this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

**[ ]        FOREIGN SEARCH REPORT**

Under § 1.97(e)(1), the undersigned certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

**[ ]        BEFORE FINAL ACTION/WITH FEE**

Under § 1.97(e)(2), the undersigned certifies that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement. This information shall be considered if filed before the mailing date of a final action if accompanied by a fee in the amount of \$180.00 as required by §1.17(p). Accordingly, the necessary fee accompanies this information disclosure statement. Any overpayment or deficiency can be charged to Deposit Account No. 06-0308.

[ ]

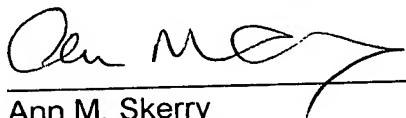
**NO COPY OF REFERENCE REQUIRED**

Under 37 C.F.R. § 1.98(d), copies of the listed documents are not required if previously cited by or submitted to the Patent and Trademark Office in a prior application relied upon under 37 C.F.R. § 120. Here, the listed documents were cited or submitted in U.S. Ser. No. \*\*\*, filed \*\*\*. As such, no copies are provided.

It is respectfully requested that the attached document(s) be considered and officially cited in examination of this application.

Respectfully submitted,

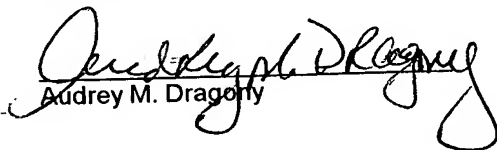
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**CERTIFICATE OF MAILING**

I hereby certify that this **INFORMATION DISCLOSURE STATEMENT** in connection with **Application Ser No. 10/080,226** is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on July 11, 2002.



Audrey M. Dragony



Subst. Form PTO-1449

APPLICANT'S(S) INFORMATION  
DISCLOSURE STATEMENT

Patent No.: GECZ 2 00609

Serial No.: 10/080,226

Applicant(s): Thomas F. Soules et al.

Filing Date: February 21, 2002

Group: 2879

## U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
	AA	4,315,186	02/09/1982	Hirano et al.			
	AB	4,395,653	07/26/1983	Graff			
	AC	4,441,046	04/03/1984	James			
	AD	5,834,884	11/10/1998	Roberts et al.			
	AE	5,838,101	11/17/1998	Pappalardo			
	AF	5,961,208	10/05/1999	Karpen			
	AG	6,137,217	10/24/2000	Pappalardo et al.			
	AH	6,159,029	12/12/200	Burwell et al.			
	AI	6,184,622	02/06/2001	Lovell et al.			
	AJ	6,222,312	04/24/2001	Ghosh et al.			
	AK	6,290,875	09/18/2001	Oshio et al.			

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subcl.	Translation?
	AL	5-94804	04/16/1993	JP			
	AM	0 945 894 A1	09/29/1999	EP			
	AN	0 999 574 A1	05/10/2000	EP			
	AO						
	AP						

## OTHER ART

	AR	
	AS	
	AT	

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.